

NEW ACCOUNT PROCEDURES

To help the government fight the funding of terrorism and money laundering activities, Federal law requires all financial institutions to obtain, verify, and record information that identifies each person who opens an account.

What this means for you: When you open an account, we will ask for your name, address, date of birth and other information that will allow us to identify you. We may also ask to see your driver's license or other identifying documents.

EAGLE ONE INVESTMENTS, LLC PRIVACY POLICY

We value our relationships with our investors, our brokers and advisors and we are committed to providing our customers with a secure and confidential environment, one that respects your right to privacy and security with regard to your personal information. It is important for you to know that we do not collect personal information about you unless you request information or wish to open or access your account. To provide you with requested services, we may ask you to provide personal information (e.g., name, social security number, account number, e-mail address, postal address, company and phone number as well as certain necessary personal financial information). This information will be used when you request service or services from us such as when you request literature, access your account or perform transactions.

If you provide us with personal information we will only use the information provided to facilitate your request or transaction. To improve the quality of our service we collect data about customers. At no time are these personal attributes correlated to any personal information that you supply to our representatives, or with any personal information we maintain for the purpose of servicing your investment accounts. We do not share information you provide to us with other entities unless we are complying with your instructions or we are legally required to provide an affiliate or third party with that information.

If you close your account or if your representative elects to change broker-dealers, your representative will be permitted to retain copies of your nonpublic information to assist with the timely transfer of your account and to continue to serve you at the new firm. If you do not want your financial advisor to transfer this information, please contact (888) 867-4391 to opt out of this information sharing.

EAGLE ONE INVESTMENTS REGISTERED INVESTMENT ADVISORY (EOI-RIA) Clients:

If you are a customer of **Eagle One Investments Registered Investment Advisor**, you can obtain a current Form ADV Part II at no cost to you by contacting your representative or by contacting Eagle One directly at (888) 867-4391 during normal business hours or writing to the EOI Home Office at the address listed below.

SECURE E-MAIL COMMUNICATIONS

At this time we do not offer secure e-mail and we recommend that all e-mail correspondence you submit directly to Eagle One Investments or one of our representatives not include confidential or transaction information. E-mail that is sent through our site to any Eagle One Representative would generally receive a response from a representative within 24 hours. Eagle One Investments, LLC and its subsidiaries cannot guarantee the confidentiality of e-mail. Your submission of electronic mail to Eagle One represents your consent for two-way communication via e-mail.

USA PATRIOT ACT AND SECURITIES AND EXCHANGE COMMISSION (SEC) REPORTING:

The USA PATRIOT Act enacted by Congress in October 2001 requires all financial institutions, including Eagle One Investments, LLC, to obtain and keep a permanent record of all of our customers' vital information. We are to compare that information to a database established by the Department of the Treasury/Office of Foreign Asset Controls (OFAC) and report any suspicious person, identity document or money laundering effort.

Further, we are required by the SEC to keep all this information as current as possible with periodic updating of your account's physical address (not a Post Office Box), financial statistics, Risk Tolerance profile and Investment Objectives. Your representative may contact you regarding these updates and your cooperation is appreciated.

CORRECTING / UPDATING YOUR INFORMATION OR REGISTERING A COMPLAINT

If you are a customer and have a concern about the personal or account information maintained at Eagle One, or want to correct, update, or confirm your information or register a complaint, please call a customer service representative at 1-888-867-4391 between 8AM and 4PM (CT). You may also write us at PO Box 886, Washington IA 52353. Our representatives will be happy to help you with your request.

FINANCIAL INDUSTRY REGULATORY AUTHORITY (FINRA)

FINRA provides a Regulation web-site that allows you to contact FINRA directly at www.finra.org and a FINRA Regulation Program hotline number at (888)700-0028. You will have access to information about your broker, the rules governing your relationship with your broker, the information regarding how to file a complaint with FINRA, as well as access to the investor brochure that includes information describing FINRA's Public Disclosure Program.

THE SECURITIES INVESTORS PROTECTION CORPORATION (SIPC):

Your investments may be protected by the Securities Investors Protection Corporation (SIPC). You can obtain information about SIPC at their Web Site (www.sipc.org), or to obtain a brochure outlining the benefits, contact SIPC at: The Securities Investor Protection Corporation; 805 15th Street, N.W. Suite 800; Washington, D.C. 20005-2215, or by phone/fax/e-mail at Tel: (202)371-8300; Fax (202)371-6728; Email: asksipc@sipc.org.

EAGLE ONE INVESTMENTS BUSINESS CONTINUITY PLANNING

Eagle One Investments is committed to providing reliable, trustworthy service that represents the best interests of our clients. The firm has developed a business continuity plan to protect our clients and employees in the event of an emergency or significant business disruption. The plan is designed to help prepare for securing employees' safety and firm property, making financial and operational assessments, promptly recovering and resuming operations, protecting the firm's books and records, and allowing our clients access to their funds and securities.

The business continuity plan considers various scenarios that range in severity from a firm-only or building-only disruption to an emergency situation on a local or regional level. For example, in the event any Eagle One office location becomes inaccessible, alternative locations are established and prepared to facilitate business operations. The firm has redundancies in place for its business-critical systems and believes that it can usually be able to resume business within the same day as the disruption. Our goal in all situations is to return to normal business conditions as soon as possible, with minimal impact on our clients and employees. Even in an extreme situation where continuing business may not be possible or practical, Eagle One has plans in place that provide clients with access to their funds.

Eagle One's business continuity plan is subject to change at any time without notice. However, notwithstanding the above, Eagle One does not guarantee that for every disaster or business disruption, such plans will be successfully implemented or that such plans will be sufficient and appropriate to avoid, deter or mitigate the disaster or business disruption.